Express Mail Label No.: EV058075197US Attorney Docket No.: 15966-776 CIP (Cura-276 CIP)

Date of Deposit: March 13, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Gerlach et al.

SERIAL NUMBER:

09/898,570

FILING DATE:

July 3, 2001

Novel Human Pr

Not Yet Assigned EXAMINER:

MAR 2 0 2002

ART UNIT:

TECH CENTER 1600/2900

FOR: otides Encoding Them and Methods of Using the Same

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Box IDS

Assistant Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Information Disclosure Statement (2 pages), in duplicate;
- 2. Modified Form 1449/PTO (2 pages) in duplicate;
- 3. Copies of cited references B1 and C31-C34; and
- 3. Return Postcard

No fee is believed to be due at this time. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account No. 50-0311, Reference No. 15966-776 CIP (Cura-276 CIP). A duplicate copy of this transmittal letter is enclosed.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617/542-6000, Boston, Massachusetts.

Respectfully submitted,

Attorney for Applicant

MINTZ, LEVIN, COHN, FERRIS c/o

GLOVSKY AND POPEO, P.C.

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

TRA 1642255v1

Dated: March 13, 2002

Attorney Docket No.: 15966-776 CIP (Cura-276 CIP)

Express Mail Label No.: EV058075197U

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SERIAL NUMBER:

09.898,570

EXAMINER:

Not Yet Assigned ECH CENTER 1600/2900

FILING DATE:

July 3, 2001

ART UNIT:

1646

For:

MAR

Novel Human Proteins, Polynucleotides Encoding Them and Methods of Using the Same

BOX IDS

Assistant Commissioner for Patents

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the above-identified case. Accordingly, no fee or certification is believed required.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS: U.S.S.N.:

Gerlach et al. 09/898,570

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 15966-776 CIP (Cura-276 CIP).

Respectfully submitted,

hustina Jamahr, Reg. No. 45,899 Nyor R. Elrifi, Reg. No. 39,529

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Dated: March 13, 2002

TRA 1642236v1



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Approved for use through 9/30/30 OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Modified Form 1449/PTO

(use as many sheets as necessary)

Application Number	09/898,570
Filing Date	07/03/01
First Named Inventor	Gerlach
Group Art Unit	1646
Examiner Name	Not Yet Assigned
Attorney Docket Number	15966-776 CIP (Cura-276 CIP)

U.S. PATENT DOCUMENTS							
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
	A1						

FOREIGN PATENT DOCUMENTS						
Exam Initials	Cite No.	Foreig Office	n Patent Document Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No
	B1	wo	01/53489	Lexicon Genetics Incorporated	July 26, 2001	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.			
	C1*	Alderborn et al. (2000). Genome Res <u>10(8)</u> : 1249-1258.			
	C2*	GenBank Accession Number: O95007 (August 20, 2001)			
	C3*	Ben-Arie et al. (1994). Hum Mol Genet 3(2): 229-235.			
	C4*	GenBank Accession Number: M64378 (April 27, 1993)			
	C5*	GenBank Accession Number: P23267 (July 1, 1993)			
	C6*	Buck and Axel (1991). Cell <u>65(1)</u> : 175-187.			
	C7*	GenBank Accession Number: AF065869 (November 4, 1998)			
	C8*	SWALL (SPTR) Accession Number: Q9Y5P1 (October 16, 2001)			
	C9*	GenBank Accession Number: T59274 (February 9, 1995)			
	C10*	Issel-Tarver and Rine (1996). Proc Natl Acad Sci U S A <u>93(20)</u> : 10897-10902.			
	C11*	Issel-Tarver and Rine (1997). <i>Genetics</i> <u>145</u> (1): 185-195.			
	C12*	GenBank Accession Number: X81445 (May 1, 2000)			
	C13*	SWALL (SPTR) Accession Number: Q9Z1T8 (May 1, 1999)			
	C14*	GenBank Accession Number: AF102539 (February 8, 1999)			
	C15*	EMBL Accession Number: Q9WU93 (November 1, 1999)			
	C16*	GenBank Accession Number: AF121979 (April 25, 1999)			
	C17*	Mombaerts, P. (1999). Annu Rev Neurosci 22: 487-509.			
	C18*	Oliver et al. (2000). Immunopharmacology <u>48(3)</u> : 269-281.			
•	C19*	Pilpel et al. (1998). Essays Biochem 33: 93-104.			
	C20*	SWALL (SPTR) Accession Number: Q9YH55 (May 1, 1999)			

Page 2 of 2

Date of Deposit: March 13, 2002

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.			
	C21*	GenBank Accession Number: L17432 (January 7, 1999)			
	C22*	GenBank Accession Number: AF179756 (March 9, 2000)			
	C23*	Rouquier et al. (1998). <i>Hum Mol Genet</i> <u>7</u> (9): 1337-1345.			
	C24*	Schurmans et al. (1993). Cytogenet Cell Genet 63(3): 200-204.			
	C25*	GenBank Accession Number: AF101730 (October 21, 1999)			
	C26*	GenBank Accession Number: AL096770 (September 14, 2001)			
	C27*	GenBank Accession Number: CAB65799 (September 14, 2001)			
	C28*	Trask et al. (1998). Hum Mol Genet 7(1): 13-26.			
	C29*	GenBank Accession Number: BAA86125 (November 3, 1999)			
	C30*	Zhao and Zhao (1998). <i>J Biol Chem</i> <u>273(45)</u> : 29367-29372.			
	C31	Bailey et al. (1999). Biochimica et Biophysica Acta 1447: 307-312.			
	C32	Cutting et al. (1991). Proc. Natl. Acad. Sci. USA 88: 2673-2677.			
	C33	Ogurusu and Shinghai (1996). Biochimica et Biophysica <u>1305</u> : 15-18.			
	C34	Invitation to Pay Additional Fees for PCT/US01/12854 mailed February 6, 2002.			

^{*} a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No.: 09/839,446, filed April 19, 2001, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

TRA 1642222v1